

## Redress Procedure in Horizont 2020

Informationen zur Redress Procedure sind im Teilnehmerportal unter folgendem Link zu finden:

<https://webgate.ec.europa.eu/redress-frontoffice/work.iface>

Die Anmeldung erfolgt über den persönlichen ECAS Account. Sollten Sie noch keinen persönlichen ECAS Account haben, können Sie sich über folgenden Link registrieren:

<https://webgate.ec.europa.eu/cas/eim/external/register.cgi>

The screenshot shows the login interface for the ECAS authentication service. At the top, there is a header with the European Commission logo and the text 'AUTHENTIFIZIERUNGSDIENST DER EUROPÄISCHEN KOMMISSION (ECAS) Extern'. Below this, a navigation bar contains 'Anmeldung', 'Neues Passwort', 'Anmeldung für ECAS', and 'Hilfe'. The main content area is split into two columns. The left column features the ECAS logo and the text: 'authentifiziert Ihre Identität auf den Webseiten der Europäischen Kommission' and 'Redress schreibt Ihnen vor, sich zu authentifizieren'. The right column contains the login form, which includes a domain selection dropdown (currently set to 'Extern'), input fields for 'Benutzername oder E-Mail-Adresse' and 'Passwort', and buttons for 'Anmeldung!' and 'Passwort vergessen?'. A footer at the bottom indicates the last update date as 29/04/2014 and provides a link to 'Seitenanfang'.

# Informationsseite zum Redress

Es gibt 5 Stufen. Auf **Stufe 1/5** wird allgemein zur Redress Procedure informiert:

Request for redress - Conditions (step 1/5)

You have received the Evaluation Summary Report (ESR), showing the outcome of the evaluation of your proposal carried out with the assistance of experts or you may have received the results of the admissibility and eligibility checks.

You may submit a request for redress if you feel that there has been a shortcoming in the way your proposal has been evaluated that may affect the final decision on whether to fund it or not, or if you believe the results of the admissibility/eligibility checks are incorrect.

An internal review committee of the Commission/Agency will examine requests for redress. The committee's role is to ensure a coherent interpretation of such requests, and equal treatment of applicants.

Requests must be:

- Related to the evaluation process, admissibility or eligibility checks, as described in the [\[H2020 grants manual\]](#) or in the [\[ERC Rules for Submission and evaluation\]](#).
- Set out using the forms provided on the following pages, including a clear description of the grounds for complaint.
- Received within the time limit specified in the letter you have received.

This committee will review your case and will recommend an appropriate course of action to the Commission services responsible for the call for proposals concerned. If there is clear evidence that a shortcoming that could affect the eventual funding decision, it is possible that all or part of the proposal will be re-evaluated.

Please note:

1. This procedure is concerned with the evaluation and/or eligibility checking process. The committee will not call into question the scientific or technical judgement of appropriately qualified experts.
2. A re-evaluation will only be carried out if there is evidence of a shortcoming that affects the final decision on whether to fund it or not. This means, for example, that a problem relating to one evaluation criterion will not lead to a re-evaluation if a proposal has failed anyway on the other criteria.
3. The evaluation score following any re-evaluation will be regarded as definitive. It may be lower than the original score.
4. Only one request for redress per proposal will be considered by the committee.
5. All requests for redress will be treated in confidence.

If you have any questions about the redress process, please contact [RTD-FP7-REDRESS@ec.europa.eu](mailto:RTD-FP7-REDRESS@ec.europa.eu). Finally, the Commission/Agency counts on your cooperation not to send in speculative requests for redress. Unless there is clear evidence of a shortcoming there will be no follow-up or re-evaluation. Unfounded requests will only serve to waste time and resources that would otherwise be devoted to ensuring a smooth implementation of Horizon 2020.

I agree with the conditions to launch a request for redress

## Request for redress - Conditions (step 1/5)

You have received the Evaluation Summary Report (ESR), showing the outcome of the evaluation of your proposal carried out with the assistance of experts or, you may have received the results of the admissibility and eligibility checks. You may submit a request for redress if you feel that there has been a shortcoming in the way your proposal has been evaluated that may affect the final decision on whether to fund it or not, or if you believe the results of the admissibility/eligibility checks are incorrect.

An internal review committee of the Commission/Agency will examine requests for redress. The committee's role is to ensure a coherent interpretation of such requests, and equal treatment of applicants.

Requests must be:

- Related to the evaluation process, admissibility or eligibility checks, as described in the [\[H2020 grants manual\]](#) or in the [\[ERC Rules for Submission and evaluation\]](#).
- Set out using the forms provided on the following pages, including a clear description of the grounds for complaint.
- Received within the time limit specified in the letter you have received.

This committee will review your case and will recommend an appropriate course of action to the Commission services responsible for the call for proposals concerned. If there is clear evidence that a shortcoming that could affect the eventual funding decision, it is possible that all or part of the proposal will be re-evaluated.

Please note:

- This procedure is concerned with the evaluation and/or eligibility checking process. The committee will not call into question the scientific or technical judgement of appropriately qualified experts.
- A re-evaluation will only be carried out if there is evidence of a shortcoming that affects the final decision on whether to fund it or not. This means, for example, that a problem relating to one evaluation criterion will not lead to a re-evaluation if a proposal has failed anyway on the other criteria.
- The evaluation score following any re-evaluation will be regarded as definitive. It may be lower than the original score.
- Only one request for redress per proposal will be considered by the committee.
- All requests for redress will be treated in confidence.

If you have any questions about the redress process, please contact: [RTD-FP7-REDRESS@ec.europa.eu](mailto:RTD-FP7-REDRESS@ec.europa.eu)

Finally, the Commission/Agency counts on your cooperation not to send in speculative requests for redress. Unless there is clear evidence of a shortcoming there will be no follow-up or re-evaluation. Unfounded requests will only serve to waste time and resources that would otherwise be devoted to ensuring a smooth implementation of Horizon 2020.



I agree with the conditions to launch a request for redress

**Step 2/5:** In Stufe 2 werden call-spezifische Daten abgefragt, wie z.B. Call Identifier, Proposal-Nummer und E-Mail-Adresse des Koordinators. Diese Angaben müssen übereinstimmen, um zu Step 3/5 gelangen zu können.

The screenshot shows the 'Request for redress - Identification of proposal (step 2/5)' form. On the left, there is a sidebar with 'Welcome Nicole SCHRÖDER' and a 'Redress Request Summary' section showing counts for Registered, Open, Closed, and Withdrawn requests. The main form area is titled 'Identification of proposal' and contains the following fields and messages:

- Call Identifier:** A dropdown menu with 'H2020-SMEINST-1-2014' selected. A red error message next to it says 'A call must be selected!'.
- Proposal N°:** A text input field containing 'TEST'.
- Initial Info Letter Reference (if supplied):** A text input field containing 'TEST'.
- E-mail of co-ordinator or principal investigator as indicated in the proposal:** A text input field containing 'nicole.schroeder@dlr.de'. A red error message next to it says 'Co-ordinator's email address must be supplied!'.
- Co-ordinator or principal investigator's name:** A text input field containing 'TEST'.

At the bottom of the form, there are three buttons: 'Cancel', 'Back', and 'Continue'.

**Step 3/5:** In Stufe 3 müssen die projektspezifischen Daten eingetragen werden, sowie der Grund für den Redress. Das Redress-Verfahren ist keine erneute Überprüfung der inhaltlichen Entscheidung, sondern eine Überprüfung des Begutachtungsverfahrens. Es können also nur Verfahrensfehler angegeben werden, die

**Beschwerdegründe** können hierbei sein:

1. *formelle Versäumnisse* in der Evaluation durch Gutachter, die
2. *nachweisbar* sind und die
3. *die endgültige Entscheidung beeinflussen*.

Die wissenschaftliche Bewertung, das Infragestellen der Qualifikation der Gutachter sowie die inhaltliche Bewertung der zu entscheidenden Sachlage sind keine Beschwerdegründe.

Participant Portal - Redress

European Commission > Research > Participant Portal > My Redress Requests > Request for redress

Welcome  
Peter HAERTWICH

Redress Request Summary  
Registered: 0  
Open: 0  
Closed: 0  
Withdrawn: 0

Request for redress - Details of your complaint (step 3/5)

Details of your complaint

Call Identifier: [redacted]

Proposal N°: [redacted]

Proposal acronym: [redacted]

Proposal full title: [redacted]

Type of Redress: -- select --  
 select --  
 Admissibility/Eligibility  
 Evaluation

The details of your complaint: Advanced text editor Basic text editor

[Rich text editor toolbar with icons for bold, italic, underline, link, etc.]

Please limit your input to 32000 characters max. (spaces, line feed, etc. included)

Cancel Back Continue

**Step 4/5:** In Stufe 4 werden noch einmal administrative Angaben zur Einrichtung und zum Koordinator abgefragt.

RESEARCH & INNOVATION  
Participant Portal - Redress

European Commission > Research > Participant Portal > My Redress Requests > Request for redress

Welcome  
Peter HAERTWICH

Redress Request Summary  
Registered: 0  
Open: 0  
Closed: 0  
Withdrawn: 0

Request for redress - Details of your organisation (step 4/5)

Proposal Co-ordinator / Principal Investigator

Name: [redacted]

E-mail: [redacted]

Organisation

Participant Identification Code (PIC): [redacted]

Name: [redacted]

Postal address: [redacted]

Country: [redacted]

Telephone number: [redacted]

Cancel Back Continue

**Step 5/5:** Stufe 5 ist eine Gesamtansicht des Redress-Verfahrens zur Korrektur vor dem Absenden an die Europäische Kommission / REA / EASME.

The screenshot shows the 'Participant Portal - Redress' interface. The breadcrumb trail is: European Commission > Research > Participant Portal > My Redress Requests > Request for redress. The user is Peter HAERTWICH. The main heading is 'Request for redress - Confirmation before submission (step 5/5)'. A message states: 'You are about to complete the request for redress process. Please verify the data you have entered.' The form is divided into two sections: 'Identification of proposal' and 'Details of your complaint'. In the first section, 'Call Identifier' and 'Proposal N°' are both redacted with black bars. In the second section, 'Type of Redress' is set to 'Admissibility/Eligibility'. Below this, 'The details of your complaint:' is followed by a large redacted text area. At the bottom, there are three buttons: 'Cancel', 'Back', and 'Complete request for redress process'. A small note at the bottom of the form states: 'After completion of this process you will receive a notification e-mail on the creation of the request for review. The proposal co-ordinator (principal investigator for ERC calls) will also be notified by e-mail of the request for redress.'

**Mögliche Ergebnisse** eines eingeleiteten Rechtsmittelverfahrens können sein:

- *Versäumnis* konnte nicht nachgewiesen werden  
(*Rechtsmittelverfahren ist nicht erfolgreich*)
- *Versäumnis* liegt vor, aber hat keine Auswirkung auf Endergebnis  
(*Rechtsmittelverfahren ist nicht erfolgreich*)
- *Versäumnis* und Auswirkung auf das Endergebnis liegen vor  
(*Rechtsmittelverfahrens ist erfolgreich*)

Die Chancen, durch ein Redress-Verfahren zu einer Förderung des Projekts zu gelangen sind bisher sehr gering.